

Logarithmic space queries and regular transductions in the elementary affine λ -calculus

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Implicit Computational Complexity

This is a work of *implicit computational complexity* (ICC):
we capture a complexity class via “*functional programming*”
(Whereas *descriptive complexity* \approx *declarative programming*.)
Many techniques in ICC: function algebras, term rewriting, etc.

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we capture a complexity class via “*functional programming*”

(Whereas *descriptive complexity* \approx *declarative programming*.)

Many techniques in ICC: function algebras, term rewriting, etc.

More precisely, we follow a *type theory* approach to ICC:

- Express functions in some λ -calculus / constructive logic
—→ same thing, via proof-as-programs correspondence!
- Indirectly enforce complexity constraint via *type system*

Type-theoretic Computational Complexity

Even in type-theoretic ICC, “there is more than 1 way to do it”!

We work at the junction between 2 distinct traditions:

1. *semantic evaluation* in the simply typed λ -calculus (ST λ)
(in particular, Hillebrand et al.’s work; also, System T, PCF...)
2. constrained variants of Girard’s *linear logic*

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and look at 2 possible *input representations*:

1. Church-encoded strings \rightarrow relationship with *automata*
(see also: higher-order model checking)
2. *finite models* i.e. finite relational structures
(as in descriptive complexity)

Some background on ICC in the simply typed λ -calculus

Expressivity of the simply typed λ -calculus

The *simply typed λ -calculus*:

a bare-bones functional programming language

no primitive data types, only *functions*

Types of “Church encodings” Str / Bool:

standard representations of strings / booleans as functions

$$\overline{abb} : (f_a, f_b) \mapsto f_a \circ f_b \circ f_b$$

What languages can we decide by programs taking Str to Bool?

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Theorem (Hillebrand & Kanellakis 1996)

The languages decided by simply typed programs of type $\text{Str}[A] \rightarrow \text{Bool}$ are exactly the regular languages.

($\text{Str}[A]$ is Str with a type substitution)

Semantic evaluation and regular languages

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To prove (\subseteq), we use a *semantics*:

- type $T \rightsquigarrow$ some mathematical structure $\llbracket T \rrbracket$
- program t of type $T \rightsquigarrow \llbracket t \rrbracket \in \llbracket T \rrbracket$
- if the program $p : \text{Bool}$ returns true, then $\llbracket p \rrbracket = \llbracket \text{true} \rrbracket$

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Proof idea:

$w \in \Sigma^* \mapsto \llbracket \bar{w} \rrbracket \in \llbracket \text{Str}[A] \rrbracket$ is a morphism to a finite monoid

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With the right choice of encoding, the class of queries expressible in the simply typed λ -calculus over finite models is ELEMENTARY.

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One can be more precise:

characterizations of k -EXPTIME / k -EXPSPACE queries

- expressivity upper bounds proved via semantics as before
- lower bounds proved using descriptive complexity

Transducers in the λ -calculus

The road not taken by Hillebrand et al.:
embrace connections between Str type and automata theory

Open question

What functions $\Gamma^ \rightarrow \Sigma^*$ can be expressed in the simply typed λ -calculus by programs of type $\text{Str}_\Gamma[A] \rightarrow \text{Str}_\Sigma$?*

Corollary (of characterization of $\text{Str}_\Sigma[A] \rightarrow \text{Bool}$)

For such a function $f : \Gamma^ \rightarrow \Sigma^*$,
 $L \subseteq \Sigma^*$ regular $\implies f^{-1}(L)$ regular.*

— look for an answer in the theory of *transducers*.

The elementary affine λ -calculus

ICC in linear logic

Via formulæ-as-types / proofs-as-programs correspondence,
Linear logic \approx type system with explicit control on *duplication*:

- *linear* function: $A \multimap B$ uses A *once* to produce B
- $A \multimap A \otimes A$ is not valid
- $!A \multimap !A \otimes !A$ is valid: '!' marks duplicable resources

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Theorem (Girard 1998)

Programs of type $!Str \multimap !^k Bool$ in second-order ELL (for varying $k \in \mathbb{N}$) correspond to elementary recursive predicates.

ICC in a linear type system (1)

Programming language counterpart / variant of 2nd-order ELL:
the *elementary affine λ -calculus* (EA λ).

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Theorem (Baillot et al. 2014)

*For fixed $k \in \mathbb{N}$, the programs of type $! \text{Str} \multimap !^{k+2} \text{Bool}$ in $\text{EA}\lambda$ with
type fixpoints correspond to k -EXPTIME predicates.
In particular $! \text{Str} \multimap !! \text{Bool}$ corresponds to P .*

More precise, but uses type fixpoints (a.k.a. recursive types).

ICC in a linear type system (2)

Theorem (Baillot et al. 2014)

In the elementary affine λ -calculus (EA λ) with type fixpoints, the programs of type $!Str \multimap !!Bool$ correspond to P.

What if we remove type fixpoints?

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What if we remove type fixpoints?

Theorem

In EA λ without type fixpoints, the programs of type $!Str \multimap !!Bool$ correspond to regular languages.

Proof adapted from Hillebrand and Kanellakis's theorem.

Key tool: second-order LL without '!' has a finite semantics.

(Previous work on LL-based ICC did not use semantics, unlike the simply typed λ -calculus tradition.)

Finite models as inputs

Queries in $EA\lambda$ (ICALP 2019 paper with P. Pradic)

Taking inspiration from the simply typed λ -calculus, we define a type Inp of inputs as finite models in $EA\lambda$.

Queries computed by programs of type $Inp \multimap !!Bool$ should:

- go beyond regular languages
- still be below P (the P soundness proof still holds)

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What we obtain – unexpectedly – is *logarithmic space*:

- lower bound: all L queries expressible (w/ linear orders)
- upper bound: NL
- encouraging partial results towards L upper bound
 - exact characterization of L with ad-hoc restriction on \exists -intro

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- upper bound: NL (actually L^{UL} , $UL = \text{unambiguous } NL$)
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Linearity in descriptive complexity

Recall that over finite models with linear orders:

Theorem (Immerman 1983)

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How to compute (deterministic) transitive closure in $\text{EA}\lambda$?

$\psi_R : Q \mapsto \{(x, z) \mid x = z \vee (\exists y : (x, y) \in R \wedge (y, z) \in Q)\}$

$R^* = \text{least fixpoint of } \psi_R, \text{ obtained by iteration } (\psi_R \text{ monotone}).$

Problem: ψ_R is non-linear (because of $\exists y$), and the Inp type in $\text{EA}\lambda$ only allows us to iterate *linear* maps.

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For DTC, we have: $\psi_{R_d} : Q \mapsto \{(x, z) \mid x = z \vee (f_R(x), z) \in Q\}$
(f_R partial function) which is linear in Q

\longrightarrow *Determinism* (L vs NL) corresponds to *linearity*.

Church-encoded strings as both inputs and outputs

Transductions in EA λ (1)

Theorem

In EA λ without type fixpoints, the programs of type $!Str \multimap !!Bool$ correspond to regular languages.

Corollary

All functions computed by programs of type $!Str \multimap !Str$ preserve regular languages by inverse image.

Can we do better?

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Can we do better? Yes: they correspond to some class X with

regular functions $\subsetneq X \subseteq$ polyregular functions

Regular functions: well-known class of transductions

Polyregular functions: Bojańczyk 2018 (arXiv:1810.08760)

Transductions in EA λ (1)

In fact, the previous result is a consequence of:

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Many characterizations of regular functions:

- *two-way* finite state transducers (automata with output)
- Monadic Second-Order Logic
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- basic functions + combinators (at least 3 possible variants)
- register transducers with “copyless assignment” condition
→ none other than a *linearity* condition!
this is what we use to prove the theorem

Conclusion

We started out by drawing upon different streams of ideas in type-theoretic ICC.

This led us to connections with

- descriptive complexity,
- automata theory.

It turns out that linearity conditions occur in both of these!

New characterizations of

- hopefully, logarithmic space (still conjectural)
- regular functions on strings